PTO/SB/01 (10-05)

017191.0049

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**DECLARATION FOR UTILITY OR** 

Attorney Docket

Number

DESIGN	Michael J. Pugia
PATENT APPLICATION	COMPLETE IF KNOWN
(37 CFR 1.63)	Application Number Unassigned
Declaration Declaration	Filing Date
Submitted OR Submitted after Initial With Initial Filing (37 CFR 1.16 (e))	Art Unit Unknown
Filing (37 CFR 1.16 (e)) required)	Examiner Name Unknown
I haraby daglars that	
I hereby declare that:	and the state of t
Each inventor's residence, mailing address, and citizenship	
which a patent is sought on the invention entitled:	first inventor(s) of the subject matter which is claimed and for
MONOCLONAL ANTIBODIES FOR DETECT	TION OF URINARY TRYPSIN INHIBITORS
	the Invention)
the specification of which	ĺ
is attached hereto	
OR	
was filed on (MM/DD/YYYY) 07/29/2004	as United States Application Number or PCT International
Application Number PCT/US2004/024881 and was ame	nded on (MM/DD/YYYY) 08/12/2005 (if applicable).
I hereby state that I have reviewed and understand the contamended by any amendment specifically referred to above.	ents of the above identified specification, including the claims, as
•	
continuation-in-part applications, material information which	naterial to patentability as defined in 37 CFR 1.56, including for became available between the filing date of the prior application
and the national or PCT international filing date of the contin	uation-in-part application.
inventor's or plant breeder's rights certificate(s), or 365(a) country other than the United States of America, listed below	9(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, of any PCT international application which designated at least one w and have also identified below, by checking the box, any foreign tificate(s), or any PCT international application having a filing date
Prior Foreign Application Foreign F	
Number(s) Country (MM/DD	Not Claimed YES NO
Additional foreign application numbers are listed as	a supplemental priority data sheet PTO/SB/02B attached hereto.
Additional foreign application numbers are listed or	i a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]
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If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

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### **DECLARATION** — Utility or Design Patent Application

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correspondence to: ass	e address sociated with stomer Number	:			OR	V	Correspondence address below
Name							
Bayer Healthcare LLC							
Address 511 Benedict Avenue							
			- <u></u>				
City			State				ZIP
Tarrytown			New Jers	еу			10591
Country	T	Telephone			Ema	il	<u> </u>
USA	,	914-524-2684			kevin.s	stein.b@	bayer.com
		WAR	NING:		•		
contribute to identity theft. Penumbers (other than a check or the USPTO to support a petitio the USPTO, petitioners/application them to the USPTO. Petitioner publication of the application (uror issuance of a patent. Furth application is referenced in a authorization forms PTO-2038 publicly available.  I hereby declare that all statem and belief are believed to be statements and the like so made false statements may jeopardized.	credit card author or an application or an application of should consertapplicant is an annexe a non-published applicated for published for published for published application of the submitted for published are punishab	norization form form form. If this type ider redacting sadvised that the blication request cord from an ablication or an ayment purpose in of my own ker that these le by fine or important to the cord from the cor	PTO-2038 sue of personal uch persona e record of a in compliance andoned appissued pater es are not restatements prisonment, of	bmitted for prinformation I information patent applice with 37 CF plication may at (see 37 CF stained in the etrue and the were made or both, unde	aymer is included in the ication of	nt purpouded in he document is avanta 13(a) is be avanta 1.14). cation for the known in the known is the know	documents submitted to uments before submitting allable to the public after a made in the application) illable to the public if the Checks and credit card file and therefore are not ents made on information wiledge that willful false
NAME OF SOLE OR FIRST IN	VENTOR:		A petition ha	s been filed t	for this	unsian	ned inventor
Given Name (first and middle [if	any])		· · · · · · · · · · · · · · · · · · ·	Family Na			
Michael J.				Pugia			
Inventor's Signature							Date
Residence: City	State		Country	1		Citizer	nship
Granger	Indiana		USA			USA	
Mailing Address 14342 Taddington Drive							
City	State		ĪŽ	 Lip			Country
Granger	Indiana			3530			JSA
Additional inventors or a legal rep	presentative are bein	g named on the 2	supple	emental sheet(s)	PTO/SI		02LR attached hereto

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### ADDITIONAL INVENTOR(S) DECLARATION Supplemental Sheet Page 1 Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname inda Anderson-Mauser Inventor's Signature Date Elkhart USA Indiana USA Residence: City State Country Citizenship 60438 County Road 3 Mailing Address Elkhart Indiana 46517 USA City State Zip Country Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname Solomon H. Murphy Inventor's Signature Spring USA LISA Texas Residence: City State Country Citizenship 8900 Research Park Drive, Apt. 1710 Mailing Address Spring Texas 77381 USA City State Zip Country Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname Ronald G. Sommer Inventor's Signature Date Elkhart Indiana USA USA Residence: City State Country Citizenship 55745 Merle Street Mailing Address Elkhart Indiana 46514 USA City State Zip Country

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DECLARATION	ns are required to re	ADDITIONAL Supplemental S	. INVENTOR(S)		e 2 of 2
				ray	0
Name of Additional Joint Inventor, if an	y:	A petition I	nas been filed for this u	nsigned	inventor
Given Name (first and middle (if any)	)	Family Name or	Surname		
Shannon		Gleason			
Inventor's Signature				Date	
Jones	Michigan	USA		USA	
Residence: City	State	Cou	ntry	Citize	nship
14006 Carter Lake Street  Mailing Address					
Jones	Michigan		40004		
City	Michigan State		49061   Zip	USA Count	trv
Name of Additional Joint Inventor, if an		A petition I	nas been filed for this ur		
Given Name (first and middle (if any)	)		Family Name or S	urname	
	<u>,                                      </u>				
Inventor's Signature	T			Date	
Residence: City	State		Country		Citizenship
Mailing Address	1	····			
City	State		Zip	Count	ry
Name of Additional Joint Inventor, if an	y:	A petition I	nas been filed for this ur	nsigned	inventor
Given Name (first and middle (if any))			Family Name or Su	ırname	
Inventor's Signature				Date	
Residence: City	State		Country		Citizenship
Mailing Address		····			
g				· ·	
City	84-4-			<b>.</b> .	

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approved for use through 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

017191.0049 DECLARATION FOR UTILITY OR Number First Named Inventor **DESIGN** Michael J. Pugia PATENT APPLICATION COMPLETE IF KNOWN (37 CFR 1.63) **Application Number** Unassigned Filing Date Declaration Declaration Submitted OR Submitted after Initial With Initial Art Unit Filing (surcharge Unknown Filing (37 CFR 1.16 (e)) **Examiner Name** required) Unknown I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: MONOCLONAL ANTIBODIES FOR DETECTION OF URINARY TRYPSIN INHIBITORS (Title of the Invention) the specification of which is attached hereto OR **|** √ | 07/29/2004 was filed on (MM/DD/YYYY) as United States Application Number or PCT International PCT/US2004/024881 Application Number and was amended on (MM/DD/YYYY) 08/12/2005 (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. **Prior Foreign Application** Foreign Filing Date **Priority Certified Copy Attached?** Country Number(s) (MM/DD/YYYY) **Not Claimed** Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. [Page 1 of 2]

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Attorney Docket

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### **DECLARATION** — Utility or Design Patent Application

correspondence to: 🔲 ass	e address sociated with stomer Number:			OR 🗸	Correspondence address below
Name					
Bayer Healthcare LLC					
Address					
511 Benedict Avenue					
City			State		ZIP
Tarrytown			New Jersey		10591
Country		Telephone		Email	
USA	9	914-524-2684		kevin.stein.b@	⊉bayer.com
		WARNII	NG:		
Petitioner/applicant is cautioned contribute to identity theft. Penumbers (other than a check or the USPTO to support a petition the USPTO, petitioners/application them to the USPTO. Petitioner publication of the application (unor issuance of a patent. Furth application is referenced in a authorization forms PTO-2038 publicly available.  I hereby declare that all statement and belief are believed to be statements and the like so made false statements may jeopardize	ersonal informater credit card author or an applicatents should consider/applicant is a nless a non-published applicated for particular and furthor and furthor are punishable are punishable	tion such as social norization form PTO- tion. If this type of ider redacting such advised that the recordication request in coord from an aband lication or an issue ayment purposes a sein of my own knowner that these stat le by fine or impriso	security numbe -2038 submitted personal information of a patent compliance with 3 coned application and patent (see re not retained in the second sec	rs, bank account for payment purp tion is included in ation from the document application is averaged and that all statem and that all statem and under 18 U.S.C.	numbers, or credit card oses) is never required by a documents submitted to tuments before submitting allable to the public afters made in the application) allable to the public if the Checks and credit card file and therefore are not ents made on information owledge that willful false
NAME OF SOLE OR FIRST IN	VENTOR:	☐ A p	etition has been t	filed for this unsig	ned inventor
Given Name (first and middle [it	f any])			ily Name or Surna	
Michael J.			Pugia		
Inventor's Signature	hart	1 hans			Date 3/2 9/ε 6
Residence: City	State		Country	Citize	nship
Granger	Indiana		USA	USA	
Mailing Address 14342 Taddington Drive					
City	State		Zip		Country
Granger	Indiana		46530		USA
Additional inventors or a legal rep		a named on the 2		nost(a) PTO(22/024	
Additional inventors of a legal ref	Presentative are being	y named on the Z	supplemental sh	eet(s) PTO/SB/02A of	02LR attached hereto.

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**ADDITIONAL INVENTOR(S)** 

DECLARATION		Supplemental S	Sheet	Pac	ne 1 of 2						
Name of Additional Joint Inventor, if an	y:	A petition	has been filed for this u	nsigned	inventor						
Given Name (first and middle (if any)	)	Family Name or	Surname								
Linda		Anderson-Mauser									
Inventor's Mada ludusM-	Maca	ser		Date	axch 29,2006						
Elkhart Residence: City	Indiana State	USA Cou	untry	USA Citize	enship						
60438 County Road 3											
Mailing Address											
Elkhart	Indiana	•	46517	USA							
City	State		Zip	Coun	try						
Name of Additional Joint Inventor, if an	y:	A petition	has been filed for this u	nsigned	inventor						
Given Name (first and middle (if any)	)		Family Name or S	urname							
Solomon H.		Murphy									
Inventor's Signature				Date							
South Bend	Indiana		USA		USA						
Residence: City	State	-	Country	_	Citizenship						
295 East Lasalle Avenue, Apt. 301a											
Mailing Address	T										
South Bend	Indiana		46617	USA							
City	State		Zip	Coun	try						
Name of Additional Joint Inventor, if an	y:	A petition	has been filed for this u	nsigned	inventor						
Given Name (first and middle (if any))	)		Family Name or Si	ırname							
Ronald G.		Sommer									
Inventor's Endlish So	nne			Date	03/28/06						
Elkhart Residence: City	Indiana State		USA Country		USA Citizonahin						
55745 Merle Street	Julie		Country		Citizenship						
Mailing Address											
Elkhart Citv	Indiana State		46514 Zin	USA	tn.						

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### ADDITIONAL INVENTOR(S) DECLARATION Supplemental Sheet Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname Shannon Gleason rannon & Gleciso Inventor's Date 28 MARCH 2006 Signature Jones Michigan USA USA Residence: City State Country Citizenship 14006 Carter Lake Street Mailing Address Jones Michigan 49061 USA Zip City State Country Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname Inventor's Signature Date State Residence: City Country Citizenship Mailing Address City State Country Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname Inventor's Signature Residence: City State Citizenship Country Mailing Address

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State

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### **DECLARATION – Supplemental Priority Data Sheet**

Foreign applications:					
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy YES	Attached?
	:				

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### POWER OF ATTORNEY and **CORRESPONDENCE ADDRESS INDICATION FORM**

Application Number	Unassigned
Filing Date	
First Named Inventor	Michael J. Pugia et al.
Title	Monoclonal Antibodies for Detect
Art Unit	
Examiner Name	
Attorney Docket Number	017191.0049

I hereby	revoke all pre	evious po	wers of attorney given in the above	-identified ap	plication.				
I hereby	appoint:								
☐ Pi	ractitioners asso	ciated with	the Customer Number:						
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ļ		Name;	Registration Number		Name; R	egistration Numb	er		
	Kevin Stein; 47	7,966		Harold N. W	ells; 26,044	<u></u>			
	Chien Yuan; 4	8,056		S.Z. Szczep	anski; 27,957				
	Rupa Sen; 42,	139		Mary Jo Bol	dingh34,713				
	Mark Seka; 44	,330		Katherine L.	Tabor; 36,026	<del> </del>	-		
	Andrew Klawitt	ter; 26,557		Glen J. Gesi	icki; 55,863				
as my/ou Office co	r attorney(s) or a nnected therewi	agent(s) to th.	prosecute the application identified abo	ve, and to tran	sact all busine	ss in the United S	States Pat	ent and Tradem	ark
Please re	ecognize or chan	ige the cor	respondence address for the above-ide	ntified applicati	ion to:				
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OF X			Davis Hashbarra II O		•	*****		****	
•••	Firm or Individual N	ame	Bayer Healthcare LLC						
Address			511 Benedict Avenue	·					
City			Tarrytown	State	New York		Zip	10591	
Country	,		USA	•				L	
Telepho	one		914-524-2684	Email	Kevin.stein	n.b@bayer.com			
I am the	):			<del></del>	1				
ᆜ	Applicant/inve	entor.							
×			e entire interest. See 37 CFR 3.71.						
	Statement un	der 37 CF	R 3.73(b) is enclosed. (Form PTO/SB/9						
<u> </u>		1	SIGNATURE of Applicar	nt or Assign	ee of Record	d			
Signature	-		Mawalled			1	Date	04/03/0	6
Name		Kenń	eth F. Wobbekind			Telephone	914	524 27	41
		Vice	President, Assoc	iate Ge	eneral	Counsel			
	d Company	<u> </u>	fealthcare LLC						
NOTE: S signature	ignatures of all th is required, see b	ne inventors elow*.	or assignees of record of the entire interes	st or their repres	sentative(s) are	required. Submit r	nultiple for	ms if more than o	one

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### 10/574862 APRec'd PCT/PTO 06 APR 2006

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STATEMENT UNI	DER 37 CFR 3.73(b)
Applicant/Patent Owner: Bayer Healthcare LLC	
Application No./Patent No./Control No.: 60/511,835	Filed/Issue Date: October 16, 2003
Entitled: Monoclonal Antibodies for Detection of Urinary Trypsin Inhil	pitors
Bayer Healthcare LLC	, a corporation
(Name of Assignee) states that it is:	(Type of Assignee: corporation, partnership, university, government agency, etc.)
1. the assignee of the entire right, title, and interest; or	
<ol> <li>an assignce of less than the entire right, title and interes (The extent (by percentage) of its ownership interest is _</li> </ol>	
in the patent application/patent identified above by virtue of eitl	ner:
A. An assignment from the inventor(s) of the patent application in the United States Patent and Trademark Office at Ree original assignment is attached.  OR	tion/patent identified above. The assignment was recorded 1_014388, Frame _0379, or a true copy of the
	tion/patent identified above, to the current assignee as follows:
From: To	Patent and Trademark Office at
Reel, Frame	
From: To The document was recorded in the United States	D: Patent and Trademark Office at
Reel, Frame	, or for which a copy thereof is attached.
3. From:To	
The document was recorded in the United States Reel, Frame	Patent and Trademark Office at
Additional documents in the chain of title are listed or	a supplemental sheet.
As required by 37 CFR 3.73(b)(1)(i), the documentary evide assignee was, or concurrently is being, submitted for reco [NOTE: A separate copy (i.e., a true copy of the original as Division in accordance with 37 CFR Part 3, to record 302.08]	rdation pursuant to 37 CFR 3.11.
The undersigned (whose life is supplied below) is authorized t	o act on behalf of the assignee.
Morlibeland	——————————————————————————————————————
Signature	Date
Kenneth F. Wobbekind	914 524 2741
Printed or Typed Name	Telephone Number
	unsel and Assistant Secretary

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Pa	atent Application	) Customer No.: 47670
	Unassigned	)
	(related to PCT/US2004/024881)	)
Applicant:	Pugia et al.	) I hereby certify that this correspondence is being deposited with the United Postal Service as first class mail in an envelope addressed to:
Serial No.:	Unassigned	Commissioner of Patents, P. O. Box 1450, Alexandria, VA, 22313-1450, on 4/6 06
Filed:	Herewith	Signature
For:	Monoclonal Antibodies for	
	<b>Detection of Urinary Trypsin</b>	)
	Inhibitors	)

### **DECLARATION UNDER 37 C.F.R. 1.132**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Sir:

- 1. I am one of the named inventors in the subject application. I received a Ph.D. degree in Chemistry from Texas Tech University and have been employed by Bayer Healthcare LLC and sits predecessors since 1986. My present title is Director, New Products.
- 2. My purpose is to place on the record the composition used as immunogen to raise the monoclonal antibodies reported in the subject application. As stated on page 10 of the application, UTIs from renal patients were purified by SciPac Ltd. and used as the immunogen. Our intent was to raise monoclonal antibodies against purified uristatin.
- 3. In an amendment under PCT Article 34 submitted on August 12, 2005, the composition of the immunogen used to inoculate mice in the preparation of monoclonal antibodies was corrected. As filed, the composition (on page 10) was "15-20% 17 kDa, 50-55%, 35 kDa, and 25-30%, 60-80- kDa with some material in the 2 to 12 kDa range. It was replaced by "about > 85% of the material 17 kDa (uristatin), plus > 10% uristatin -1 or -2 and < 5% of bikunin (30.9 kDa) and no detectable AMBK, I- $\alpha$ -I, or P- $\alpha$ -I." This correction was required

€ 3

when it was discovered that the composition of the immunogen actually used to inoculate mice had been incorrectly associated with the composition of purified UTI lot 20-120, rather than the composition of the UTI lot 157-90 actually used. The composition of UTI lot 157-90 was predominantly uristatin, which has a molecular weight of about 17 kDa, as will be shown in the accompanying documents. The composition of UTI lot 20-120 was reported also in Example 3 of the application. It contains substantial amounts of bikunin and only small amounts of uristatin.

Since the International Preliminary Examination Report objected to correction of the immunogen composition, the erroneous composition has been deleted in the accompanying preliminary amendment.

- 4. That UTI lot 157-90 was used as the immunogen is shown in the attached memorandum by Solomon Murphy of April 24, 2002, requesting that 15 mice be immunized with UTI lot 157-90.
- 5. Three lots of purified UTI were analyzed by Shannon F. Gleason in the attached notebook pages 84-85 dated September 9, 2002. All of the UTI lots were predominantly uristatin as the size of the blots indicate. Lane 4 is understood to represent lot 157-90. The computed results are not consistent with the size of the blots and are considered to be incorrect.
- 6. The composition of UTI lots 157-90 and 20-120 are shown from SDS-PAGE separations in the attached memorandum of July 7, 2003 from Shannon Gleason and Nancy C. Leszczynski, which reports tests done with several UTI lots. They state that UTI lots 157-90 and 124-111 were predominantly uristatin (≈17 kDa), while UTI lot 20-120 was predominantly bikunin (≈35 kDa). This is illustrated in Figure 1 where UTI lots 124-111 and 157-90 are seen to be similar, while UTI lot 20-120 is clearly different. Note that the results with non-reducing gels are reported, since the reducing gel changes the composition, as can be seen in Figure 2.
- 7. A later report from Nancy C. Leszczynski and Shannon Gleason, dated October 15, 2003, reported on page 4 that UTI lot 20-120 had 100% at 33 kDa molecular weight. UTI lot 157-90 had 53% at 18 kDa and 47% at 34 kDa, while UTI lot 124-111 had 97% at 16kDa and 3% at 32 kDa. These results for UTI lot 157-90 differed from the July 7, 2003 report.
- 8. In a review, dated November 12, 2003, of the results reported in paragraph 7, Ronald Sommer used an algorithm to interpret the SDS-PAGE results, as is shown in the accompanying copies of pages from Mr. Sommer's lab notebook. The non-reducing gel results were reported in

Application No.: PCT/US2004/024881

the October 15, 2003 memorandum as Figure 1 on page 6. One can conclude that UTI lot 157-90 was similar to that of UTI lot 124-111, but not to UTI lot 20-120, both lots 157-90 and 20-120 having a strong peak at 100, corresponding to the 17 kDa band, while UTI lot 20-120 did not have such a peak and instead had its strongest peak at about 160, corresponding to bikunin (35 kDa) rather than uristatin.

- 9. The report dated March 29, 2004 by Nancy C. Leszczynski notes that temperature affects the composition of the UTI lots, which show that more uristatin is present as temperature increases. Figure 1 compares UTI lots 20-120, 124-111, and 157-90 at three temperatures. It will be evident that lots 157-90 and 124-111 are similar in being substantially uristatin, while lot 20-120 is substantially bikunin, plus a higher molecular weight band.
- 10. I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

329-06

Date

Michael J. Pugia, Ph.I

BAYER CORPORATION	gipleor	والمتراجعة والمتراجعة والمستورة والمستورة والمستورة والمستورة والمتراجعة والم			Business Group	Diagnostics	Research & Development		Date: April 24, 2002	 Figure Allegan Allegan Caragolifan do Charl Allegan Allegan	From: Solomon Murphy	. c. 280500	C: G. Calgo	•	Please immunize 15 mice with Urinary Trypsin Inhibitor for monodonal antibody	production. Immunize with 100u1/mouse.	The 15 miles and 5 miles and 5 0181	וואב דם וווותב מתופסר מו ם נובחותם ל כן מדינהם מונת כן עדמה:	- Immunogen:	Urinary Trypsin Inhibitor	05-751 W - W - W - W - W - W - W - W - W - W	Store 6.2-8 C			The Control of the Co	Solomon Murphy	7	- U	DATE	UNDERSTOOD BY ALLAN GIRGLEATE SAPE LA LOT
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### **BAYER CORPORATION**

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### **BAYER CORPORATION**

SUBJECT ueistatin-90 1 Band # MgMk kDa Rſ Trace RD x mm Contour RD x mm<sup>2</sup> Peak Percent Quantity Band Name 2: RD of Bands 0.348 0.583 0.738 0.29 0.36 0.51 13:1 58.9 28.0 62.15 30.74 3 3,220 17 00s 1.529 4 4 eistatin-111 Lane 5 5 MgMk kDa Peak RD Band # Rſ Quantity Band Name 6<sup>;</sup> 3.435 0.481 2.053 RD x mm<sup>2</sup> of Bands 0.608 0.708 28.11 19.10x 0.34 57.6 7 8 Plasmaroy Lane 6 9 MgMk kD; Contour RD x mm<sup>2</sup> Band # Rſ Trace Percent Quantity Band Name RD 0.14 0.35 0.40 0.28 0.24 of Bands 354.49x 115.56 84.51 45.29 40.19 6-2 6-5 0.060 0.212 13.1 24.7 39.4 11.2 11.7 10 0.320 0.603 0.962 0.274 0.285 6 - 6 6 - 10 6 - 11 0.268 0.449 0.490 11 12 Plasma 30 Lane 7 13 Band # Rſ MgMk kDa Contour RD x mm<sup>2</sup> Trace Quantity Band Name 14 RD 0.13 0.34 RD x mm 0.542 of Bands 7 - 2 7 - 4 7 - 6 7 - 8 7 - 9 7 - 10 7 - 11 362.71x 154.31x 79.63 48.27 44.62 0.057 0.173 12.1 :15 0.483 1.333 0.618 10.8 29.8 13.8 0.173 0.281 0.427 0.454 0.482 0.517 0.34 0.34 0.32 0.31 0.31 16 0.492 0.622 0.379 11.0 13.9 8.5 17: :18 Plasma 38 Lane 8 19 Rſ MgMk kDa 195.02x Peak Trace Quantity Band Name RD x mm of Bands 8 - 2 8 - 3 8 - 5 8 - 7 8 - 9 8 - 15 0.141 0.161 0.194 0.349 1.429 0.552 20: 0.15 0.20 0.29 5.7 6.9 12.4 50.6 19.5 0.165 0.205 0.273 0.347 0.521 163.13x 121.33x 21 0.29 0.37 0.23 0.14 82.58 62.19 36.69 0.140 22 23: Plasma 55 Lane 9 24 Band # Rſ MgMk kDa Peak Trace Quantity Band Name RD RD x mm of Bands 381.75x 270.85x 184.20x 151.90x 74.95 35.85 0.14 0.13 0.19 0.19 0.27 25 40.8 2.3 12.2 7.5 31.3 5.8 0.050 0.763 0.043 9- 2 9- 3 9- 4 9- 5 9- 6 0.050 0.096 0.149 0.175 0.297 0.529 0.229 0.141 0.585 26 0.11 27 28 Plasma 87 Lane 10 29 Contour RD x mm<sup>2</sup> Quantity Band Name Band # MgMk kDa Rſ Peak Trace Percent RD 0.39 of Bands 30 0.167 0.183 0.292 0.310 0.357 10 - 1 160.47x 0.679 9.0 10 · 2 10 · 3 0.45 2.682 0.825 35.6 11.0 5.6 10.2 19.8 4.0 3.3 1.5 31: 76.28 71.37 60.01 10 - 4 10 - 5 10 - 6 10 - 7 10 - 8 10 - 9 0.38 0.38 0.39 0.25 0.15 0.823 0.422 0.764 1.490 0.302 0.245 0.115 32: 0.424 0.464 0.487 48.75 43.32 40.57 33 0.538 34 9 Sept 2002 DATE\_

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**CROSS REFERENCES:** 

# Bayer HealthCare Diagnostics Division



### **Self Testing Segment**

### Interoffice Memorandum

Date:

July 7, 2003

Subject:

Comparison of Uristatin Preparations

From:

Shannon Gleason, Nancy C Leszczynski

To:

Ron Sommer

CC:

**Howard Cooper** 

**Project Name:** 

Uristatin

Date Assayed:

July 3, 2003

Project Number:

161200 51566 Method of analysis:

Gel Electrophoresis

Sample Request No: Notebook Number:

RB27947

Sample Analyte:

Purified Human Uristatin

Summary: Comparison of four lots of uristatin revealed unique protein banding patterns for each sample. While each lot contained the ~33 kDa band, lot 20-120 did not show the presence of the ~17 kDa band, and lot 124-11 did not show the ~63 kDa band.

Objective: The goal of this study was to compare two new Uristatin lots, 20-120 and 79-120, to three lots examined previously. However, the vial of Uristatin 80-117 was depleted, therefore comparison was only done against two-of the old lots, 124-111 and 157-90.

Method: Samples were analyzed using a commercial pre-cast gel system (Invitrogen) NuPAGE 4-12% Bis-Tris with MES running buffer (reducing and non-reducing) following the manufacturer's recommended procedure. Samples were loaded at 2µg per lane. The assay was performed with a full set of standards, Mark12™ (Invitrogen) and SeeBlue®Plus2 (Invitrogen). Protein bands were stained with Colloidal Blue® (Invitrogen).

Results: The estimated molecular weights (MW) and percent composition for each band detected in the uristatin samples are shown in Table 1. The SDS-PAGE non-reducing and reducing gels are shown in Figures 1 and 2, respectively. Previously, analyses of uristatin preparations only included non-reducing gels as the electrophoretic separations were for Western blot studies.

Each uristatin lot demonstrates a slightly different protein banding pattern both on non-reducing and reducing gels. On the non-reducing gel, all lots contain the  $\sim$ 33 kDa protein band, while all but one lot (20-120) show significant amounts of the  $\sim$ 17 kDa band. In lots 124-111 and 157-90 the  $\sim$ 17 kDa band is the predominant component. In lots 20-120 and 79-120, the  $\sim$ 33 kDa protein band is the major component. In fact for this  $2\mu g$  sample loading, lot 20-120 shows only a hint of staining in the  $\sim$ 17 kDa region. The  $\sim$ 63 kDa band is clearly present in uristatin lots 157-90, 20-120, and 79-120, but is not detectable in lot 124-111. Several additional bands are present in some of the uristatin preparations.

When the uristatin samples are examined under reducing conditions, all exhibit additional protein bands and some bands demonstrate a slight shift in estimated MW. Under these conditions, disulfide bonds are reduced thereby yielding more accurate MW estimations for the sample components. The 16-17 kDa band present in three lots of uristatin now migrates at 17 kDa for all samples. This may be due to slight differences in the method of preparation for lot 124-111 which could have left some secondary structure

in the 17 kDa band that caused it to migrate at a slightly lower MW (16 kDa). All the samples show an increase in the  $\sim$ 5 kDa band and in lot 124-111 this band now comprises about 1/3 of the protein.

	Non-Reducing		Reduc	
Uristatin	est. MW (kDa)	% of Bands	est. MW (kDa)	% of Bands
124-111	16	80	5	32
	33	15	17	24
	5	5	10	16
1			15	12
			34	9
			8	8
157-90	17	87	17	64
	35	9	36	20
ļ	63	4	15	7 .
			5	5
ļ			11	3
			68	1
20-120	32	83	33	87
	63	10	73	5
1	78	7	5	4
Į.			90	3
			18	2
79-120	33	50	34	58
	17	25	17	24
	64	12	23	7
1	21	11	73	5
	76	3	5 44	4
				2

Table 1. Estimated molecular weights and percent composition for each band on non-reducing and reducing gels. Proteins are listed in rank order from highest to lowest composition.

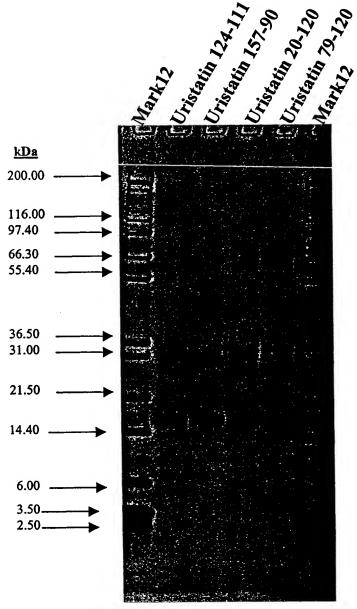


Figure 1. Uristatins: Non-Reducing Gel

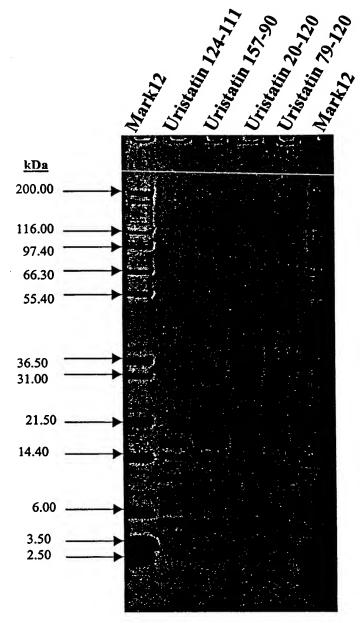


Figure 2. Uristatins: Reducing Gel

### Bayer HealthCare **Diagnostics Division**

### Self Testing Segment

### Interoffice Memorandum

Date:

October 14, 2003

Subject:

Comparison of Uristatin Preparations following storage

From:

Nancy C Leszczynski, Shannon Gleason

To:

Ron Sommer

CC:

Howard Cooper, Mike Pugia, Linda Anderson, Solomon Murphy, Jim

Project Name:

Uristatin

Date Assayed:

October 8, 2003

**Project Number:** Sample Request No: 161200 51587

Method of analysis:

Gel Electrophoresis

Notebook Number:

RB27947

Sample Analyte:

**Purified Human Uristatin** 

Summary: Comparison of four lots of Uristatin stored under three different conditions (lyophilized, -20°C and 4°C) revealed unique protein banding patterns for analyte each sample. Comparisons between the different storage conditions are shown in Table 1.

Note: While in some instances, additional bands did appear on the gel, they were not detected by the QS30 Optically Enhanced Densitometer System.

Objective: Previous SDS-PAGE analyses of several Uristatin samples have suggested a possible degradation of samples stored in the liquid state at 4°C. This analysis will look at samples that have been stored at either 4°C or -20°C after reconstitution of the lyophilized solids. In addition, the three lots of material submitted as lyophilized solid were reconstituted in 10mM PBS and were put under stability conditions of 4°C, -20°C and -70°C for 1, 2, 4 and 6 months.

Method: Samples were analyzed using a commercial pre-cast gel system (Invitrogen) NuPAGE 4-12% Bis-Tris with MES running buffer (reducing and non-reducing) following the manufacturer's recommended procedure. Samples were loaded at 2µg per lane. The assay was performed with a full set of standards, Mark12™ (Invitrogen) and SeeBlue®Plus2 (Invitrogen). Protein bands were stained with Colloidal Blue® (Invitrogen). Molecular weight estimations were determined using Mark12™ (Invitrogen). Densitometry data were generated using the QS30 Optically Enhanced Densitometer System. Images shown are pictures produced by the Eagle Eye II Still Video System as it generates better quality images. Images were cropped to eliminate SeeBlue®Plus2 (Invitrogen) markers. SeeBlue®Plus2 (Invitrogen) were used to track progress of the electrophoresis.

Results: Table 1 shows a simple comparison of the bands present for each lot under the various storage conditions. The reconstitution dates for the samples stored at 4°C were April 2002 for 124-111, and June 2003 for lots 79-120 and 20-120. The estimated molecular weights (MW) and percent composition for each band detected in the Uristatin samples are shown in Table 2. The SDS-PAGE non-reducing and reducing gels are shown in Figures 1 and 2, respectively. All samples stored at 4°C demonstrate a banding pattern that differs from the freshly reconstituted sample under one or both of the gel conditions. The newly initiated stability study will provide additional data when these changes occur.

disposed which

### Comparison of Bands present by Molecular Weight

124-111						
l	Reduc <b>ed</b>	·				
lyophilized -20°C 4°C						
35	34					
17	17	17				
15	15					
	11 10					
9						
6 6						
5	. 5	5				

124-111			
Non-	-Reduced		
lyophilized	-20° <i>C</i>	4°C	
32	33		
16	16	16	

_1'	was	33 Ner	ar

20-120					
F	Reduc <b>ed</b>				
lyophilized -20°C 4°C					
89	89				
73	72	73			
33	33	33			
22					
18					
5 5 5					

20-120					
Non-	-Reduced				
lyophilized	lyophilized -20°C 4°C				
		63			
33		32			
		17			

### Comparison of Bands present by Molecular Weight

157-90			
	Reduced		
lyophilized		12	
72	4.7	7 7 7	
33	3.34		
18			
15	10.545	77.75	
7	to and		
5	有多种		

157-90				
Nor	-Reduc <b>ed</b>			
lyophilized ( )				
33				
18				
	100.000 (100.000)			

79-120				
R	educed			
	-20°C	4°C		
	73	73		
	34	35		
	22	23		
	17	18		
	5	5		

79-120				
Non-	Non-Reduced			
	-20°C	4°C		
		- 3		
		63		
		33		
4				
	17	17		

Table 1. Comparison of bands present showing estimated molecular weights.

### Estimated molecular weights and percent composition for each band

	Non-Reducing			
Uristatin	est. MW (kDa)	% of Bands	est. MW (kDa)	% of Bands
124-111	16	97	17	49
lyophilized	32	3	35	40
solid			5	5
			15	4
			6	3
20-120	33	100	33	93
lyophilized			5	3
solid			73	. 3
			89	1
157-90	18	53	33	74
lyophilized	34	47	19	19
solid			72	3
			15	3
			5	2.0
			7	0.3
124-111	16	97	34	46
-20° <i>C</i>	33	3	17	38
			15	6
			5	6
			6	. 3
			11	1
20-120			33	- 93
-20° <i>C</i>			5	3.
			72	3
			88	1
79-120	17	100	34	65
-20° <i>C</i>			17	27
			- 5	4
			73	3
			22	2

	Non-Re	ducing	Redu	icing
Uristatin	est. MW (kDa)	% of Bands	est. MW (kDa)	% of Bands
124-111	17	100	5	41
4°C			. 11	19
			17	19
			9	10
			15	10
79-120	17	69	35	58
4° <u>C</u>	33	21	18	26
	63	10	5	8
			23	6
		ν.	73	2
20-120	32	88	33	89
4°C	64	10	5	6
	17	2	73	2
			18	2
			22	1

Table 2. Estimated molecular weights and percent composition for each band on non-reducing and reducing gels. Proteins are listed in rank order from highest to lowest composition.

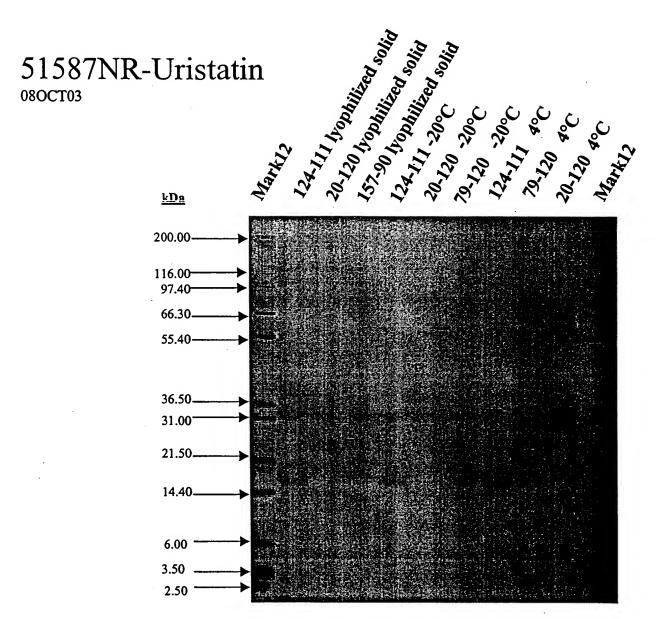
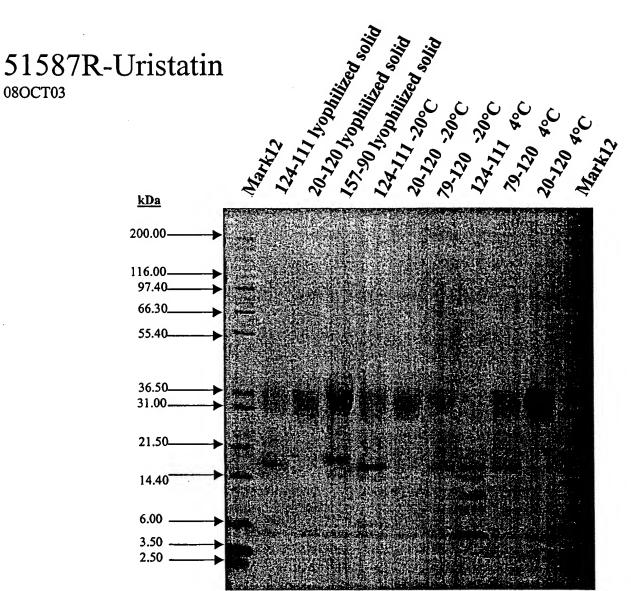


Figure 1. Uristatins: Non-Reducing Gel



### Complete content of each sample label:

Samples 1, 2 and 3 were lyophilized solid stored at  $4^{\circ}\text{C}$  then reconstituted.

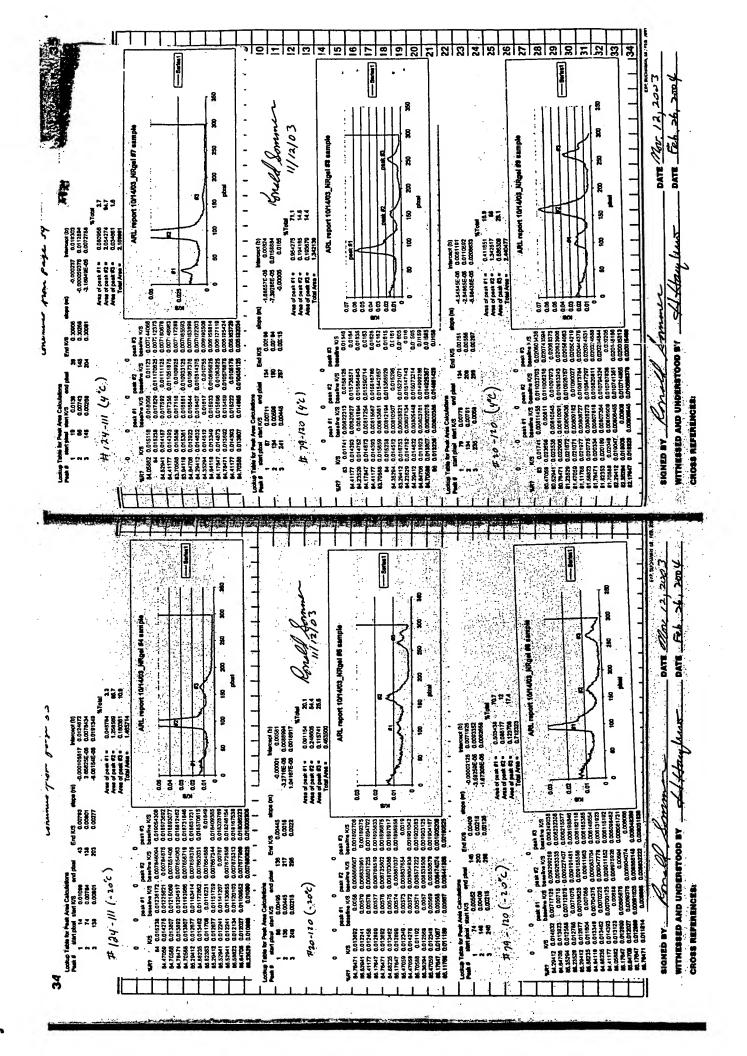
Sample 1	Sample 2	Sample 3
Scipac	Scipac	Scipac
human Urinary Trypsin Inhibitor	human Urinary Trypsin Inhibitor	human Urinary Trypsin Inhibitor
Product Code:P205-2 Lot:124-111	Product Code:P205-2 Lot:20-120	Product Code:P205-2 Lot:157-90
Quantity:1mg Stor:2-8°C	Quantity:1mg Stor:2-8°C	Quantity:1mg Stor:2-8°C
Samples 4, 5 and 6 were frozen liquid	, transferred without thawing, stored at	-20°C
Sample 4	Sample 5	Sample 6
hUTI TBS 500µl	20-120	79-120
PC-P205-1 1mg/ml		
lot-124-111 10/2002		•
Samples 7, 8 and 9 are liquid, stored a	at 4°C	
Sample 7	Sample 8	Sample 9
Scipac	79-120	20-120
human Urinary Trypsin Inhibitor		•
Product Code:P205-1 Lot No:124-111		:
Quantity:1mg Stor:2-8°C		

Note: Lyophilized samples reconstituted in 10mM PBS on 08OCT03. Stored at -20°C after reconstitution.

All samples (except stability aliquots) are being stored at -20°C after sampling.

505 80.90

- Series 1 30 700 ARL report 10/14/03\_NRgel #1 sample ARL report 10/14/03\_NRgel #3 sample DATE FEL (0) (0,000,000) (0,000,000) (0) (0,000,000) (0) (0,000,000) (0,000 1.3 stope (m) 1.37931E-06 0.0003769 0.0003769 0.0003769 0.00120741 0.001169 0.0012003 Continue from page 37 ( sport pozilistel) 06-651 # CROSS REFERENCES: BIGNED BY CHOSS RETERENCESIC: VMy Branch Vmydd ISCN 1771 Mristin CRL VSIS81NR GRANSAR J. Instin Everator Remarkson 2 gd Bards Sport - Velucing gel from ARL Roat \$1587 BAYER CORPORATION WITHESSED AND UNDERSTOOD BY 32



# Bayer HealthCare Diagnostics Division Self Testing Segment



### Interoffice Memorandum

Date:

March 29, 2004

Subject:

Comparison of Uristatin Preparations following 24 and 16-weeks of storage

From:

Nancy C Leszczynski

To:

Ron Sommer

CC:

Shannon Gleason, Howard Cooper, Mike Pugia, Linda Anderson, Solomon Murphy, Jim

**Profitt** 

Project Name:

Uristatin

Date Assayed: Method of analysis: March 24, 2004 Gel Electrophoresis

Project Number: Sample Request No: 16100 5158**7** 

Sample Analyte:

Purified Human Uristatin

Notebook Number:

**RB27998** 

Summary: 24 and 16 week stability checkpoint comparison of four lots of Uristatin stored under three different conditions (4°C, -20°C and -70°C) revealed unique protein banding patterns for each sample lot.

Objective: Previous SDS-PAGE analyses of several Uristatin samples have suggested a possible degradation of samples stored in the liquid state at 4°C. This analysis will look at samples that have been stored at 4°C, -20°C and -70°C after reconstitution of the lyophilized solids. Three lots were reconstituted to 1mg/mL in 10mM PBS on October 8, 2003 and were put under stability conditions of 4°C, -20°C and -70°C for 1, 2, 4 and 6 months. This is the 24-week stability checkpoint.

An additional sample was submitted for analysis after the 1 month stability check was completed; Uristatin lot #93-90 was reconstituted to 1mg/mL on December 3, 2003 in 10mM PBS and stored at stability conditions of 4°C, -20°C, and -70°C. The initial analysis date of 93-90 was December 3<sup>rd</sup>. This is the 16-week checkpoint. This is the last stability checkpoint for this study.

Method: Samples were analyzed using a commercial pre-cast gel system (Invitrogen) NuPAGE 4-12% Bis-Tris with MES running buffer (reducing and non-reducing) following the manufacturer's recommended procedure. Samples were loaded at 5µg per lane. The assay was performed with a full set of standards, Mark12™ (Invitrogen) and SeeBlue®Plus2 (Invitrogen). Protein bands were stained with Colloidal Blue® (Invitrogen). Molecular weight estimations were determined using Mark12™ (Invitrogen). Densitometry data were generated using the Kodak Image Station 2000R and analyzed using Kodak 1D Image Analysis Software. This system replaces the QS30 Optically Enhanced Densitometer System, used for previous analyses.

Conclusion: Uristatin Lot 124-111 shows a shift in the relative percentage towards the 17 kDa band at 4°C. Uristatin 157-90 continues to show a shift towards the 18 kDa band at the 4°C temperature. 20-120

appears to be stable at 24 week 4°C temperature, however a slight increase in the 17 kDa band is starting to appear. All samples stored at -20 and -70°C remain stable. 93-90 shows an increase in the 17 kDa band after storage at 4°C for 16 weeks.

# 51587NR-Uristatin 24 wk checkpoint\* Non-Reduced 4-12%BT MES Buffer 24MAR04 \*93-90= 16wk checkpoint \*\*Da 200.00 97.40 97.40 97.40 55.40 36.50 31.00 21.50 14.40 6.00

Figure 1. Uristatins: Non-Reducing Gel

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